FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| ashington, | D.C. | 20549 | |
|------------|------|-------|--|

| OMB APPROVAL | | | | | | | | | |
|--------------------------|----------|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-028 | | | | | | | | |
| Estimated average burden | | | | | | | | | |

0.5

hours per response:

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Instruction 1(b). |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* KOZLOV HERSH | | | | 2. Issuer Name and Ticker or Trading Symbol <u>vTv Therapeutics Inc.</u> [VTVT] | | | | | | | Relationship of the contract o | cable) | Person(s) to Is | | | |
|--|--|-------------------|----------|---|--|--|--------|---|---------------------|---|--|---|---|--------------|----------------|----------|
| (Last) | ` | irst) EUTICS INC. | (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 06/11/2020 | | | | | | | Officer below) | (give title | Other below | (specify |
| 3980 PREMIER DRIVE, SUITE 310 | | | | 4. I | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable | | | | |
| (Street) | OINT N | С | 27265 | | | | | | | | | Lin | X Form f | iled by More | Reporting Pers | |
| (City) | (S | tate) | (Zip) | | | | | | | | | | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date (Month/D | | | | Execution Date, | | 3. Transactio Code (Inst 8) | | | | Beneficia | es Formally (D) (Following (I) (I | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | | | |
| | | | | | | Code V | Amount | (A) or (D) | Price | Transact (Instr. 3 a | ion(s) | | (Instr. 4) | | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | perivative Conversion Date Execution Date, lecurity or Exercise (Month/Day/Year) if any | | | ansaction of ode (Instr. Derivative | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | Ownershi Form: Direct (D) or Indirec (I) (Instr. 4 | Beneficial Ownership (Instr. 4) | | | |
| | | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Director stock option (right to buy) | \$2.65 | 06/11/2020 | | | A | | 26,250 | | (1) | 06/11/2030 | Class A common stock | 26,250 | \$2.65 | 26,250 | D | |

Explanation of Responses:

1. An option to acquire 2,812 shares is immediately vested on June 11, 2020. The remaining portion of the option grant (an option to acquire 23,438 shares) vests in monthly equal installments over the three year period beginning on June 11, 2020.

Remarks:

/s/ Rudy C. Howard, attorneyin-fact ** Signature of Reporting Person

06/15/2020 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.